

FROM TREXLER ETAL.

AKIMA-DTSV 16 MAR 2005

(WED) 3. 16'05 13:58/ST. 13:57/NO. 4860347434 P 1

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.

COUNSELORS AT LAW

THE CLARK ADAMS BUILDING

105 WEST ADAMS STREET, SUITE 36(X)
CHICAGO, ILLINOIS 60603-6299

(312) 704-1890

FOUNDED 1890

PATENT, TRADEMARK, COPYRIGHT AND RID ATTO MATTERS; ALL PHASES INCLUDING LICENSING AND LITIGATION

FAX: (312) 704-8023

RICHARD R. TREXLER (1906-1995) RICHARD BUSHNELL (1926-2004) RICHARD A. GLANGIORGI RAIFORD A. BLACKSTONE, JR. DAVID J MARR LINDA L. PALOMAR JAMES R. FOLITY JAMES A. OMALLEY TIMOTHY M. MCCARTHY

FACSIMILE TRANSMISSION

TOTAL PAGES (Including Cover Page) 8 DATE: March 16, 2005
Office of Initial Patent Examination's TO: Filing Receipt Corrections FROM: Linda L. Palomar, Reg. No. 37,903
FAX NO: (703) 746-9195 FAX NO: (312) 704-8023
If you experience any difficulty with this transmission, please call (312) 704-1890 for assistance.
ORIGINAL COPY AND ENCLOSURES WILL BE SENT BY MAIL COURIER

✓ WILL NOT BE SENT

NOTES:

Inventor: Thudor et al.

For: HUMIDITY CONTROLLER

Art Unit: 3742

Serial No.: 10/001,596 Filed: October 19, 2001

Attorney Ref.: 1171/39672/106

ì	ER	41	F	C	<u> A</u> I	<u> 10</u>	16	K	Œ	F/	1C	S	M	ij.	E	ΤF	₹A	N5	Ж	18	SIC	<u> 211</u>	
•		7		•			•	•		•	•		4. **					*****					

I hereby certify that this paper is being facernile transmitted to the Patent and Trademark Ornce to tax number (703) 248 5195 on:

March 16, 2005

Jepanny & Section

IMPORTANT NOTICE

This transmission (including all attached pages) is intended only for the use of the named addressee(s), and may contain information that is privileged or exempt from disclosure under applicable law. IF YOU ARE NOT A NAMED ADDRESSEE, YOU ARE HEREBY NOTIFIED THAT ANY USE, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS TRANSMISSION IS STRICTLY PROHIBITED. If you have received this transmission in error, please destroy all copies and notify us immediately at this telephone number: (312) 704-1890.

BEST AVAILABLE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re applica	tion of:	?
Applicant:	Tudor et al.)
For: HUM	IIDITY CONTROLLER	CERTIFICATION OF FACSIMILE TRANSMISSION I hereby certify that this paper is being facsimile transmitted to the
Serial No.: 10/001,596		Petent and Trademark Office to fax number (703) 748-9195 on March 16, 2005.
Filed: October 19, 2001		Jugary E. Sexton
Art Unit:	3742)
Atty. Docket	: No.: 1171/39672/106	

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

On September 17, 2004, Applicant filed a Request for Corrected Filing Receipt, copy enclosed, because the Filing Receipt was not in conformance with the Amendment dated May 18, 2004. A Corrected Filing Receipt was issued on October 1, 2004, however, said Corrected Filing Receipt omits the Foreign Application data which was not in accordance with the afore-mentioned Request for Corrected Filing Receipt. Therefore, please re-identify the following foreign applications, and remove "International Bureau of the World Intell WO 01/13981, 3/1/2001" from the Foreign Applications section of the Filing Receipt.

Foreign Applications to be Re-identified New Zealand 337382 08/23/1999 New Zealand 507663 10/19/2000

In addition, Applicant notes that the information identified under the Domestic Priority Data is not consistent with the United States Patent Office's records, however, Applicant has checked their file and did not find any inconsistencies. Therefore, Applicant respectfully requests that either the statement "Data provided by applicant is not consistent with PTO records" be deleted from the Filing Receipt or that Applicant be provided a concise explanation of what the inconsistencies are.

777271.WPD

3

A copy of the Corrected Filing Receipt is attached hereto with the changes noted thereon.

Please make these changes and forward a corrected Filing Receipt to us.

It is believed that no fee is required. If such a fee is required, however, the Commissioner is authorized to charge Deposit account No. 20-1495 for this fee and any amount necessary to cover any additional costs associated with this Request or to credit any overpayment.

Respectfully submitted,

Date: March 16, 2005

Raiford A. Blackstone, Reg. No. 25,156

Linda L. Palomar, Reg. No. 37,903

Attorney for Applicant

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.

105 West Adams Street, 36th Floor Chicago, Illinois 60603-6299

(312) 704-1890



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United Status Patient and Tradument Office.
Making COMMISSIONER FOR PATENTS.

O. Dox 1450 doxardria, Virginia 22313-1450

FILING OR 371 DRAWINGS TOT CLMS IND CLMS ATTY, DOCKET NO FIL FEE REC'D ART UNIT APPL NO. (c) DATE 2 18 1171/39672/106 1780 10/19/2001 3742 10/001,596

Trexler, Bushnell, Giangiorg Blackstone & Marr, Ltd. 36th Floor 105 West Adams Street Chicago, IL 60603

RECEIVED
OCT 0 4 2004

CONFIRMATION NO. 3006
CORRECTED FILING RECEIPT

"OC000000013979252*

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.

Date Mailed: 10/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mohammad Thudor, Auckland, NEW ZEALAND; Stephen William McPhee, Auckland, NEW ZEALAND;

Power of Attorney:

RICHARD BUSHNELL—16943 Richard Giangiorgi—24284 Raiford Blackstone JR.—25156 David J. Marr—32915 Linda L. Palomar—37903 James Foley-39979 Paige Kitzinger-45219 James O'Mailey-45952

Domestic Priority data as claimed by applicant

This application is a CIP of 09/959,226 01/23/2002 ABN * which is a 371 of PCT/NZ00/00156 08/09/2000 with PTO records.

CASE:	S/M
file #:	FD/enter
**************************************	DUE

DUE

Foreign Applications

vew Zealand 337382 8/23/1999 pew Zealand 507663 10/19/2000

If Required, Foreign Filing License Granted: 12/31/2001

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/001,596

PAGE 4/8 * RCVD AT 3/16/2005 2:02:01 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/2 * DNIS:7469195 * CSID:1 312 704 8023 * DURATION (mm-ss):03-06

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Humidity controller

Preliminary Class

392

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If, 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Applicant: Tudor et al.

For: HUMIDITY CONTROLLER

Interest certify that this core States Postal Service as for the state of the state of

Filed: October 19, 2001

Art Unit: 3742

Ally. Docket No.: 1171/39672/106

10/001,596

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandris VA 22313-1450 on

Japanese 17, 2004

Japanese 17, 2004

Japanese 1166ay E. Senton

COPY

COPY

)OPW

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Serial No .:

Enclosed is a copy of the Filing Receipt received in the above-identified application.

This Filing Receipt is in error because it is not in conformance with our Amendment dated May

18, 2004. Please update the Filing Receipt to reflect the following:

This application is a continuation-in-part of United States patent application Serial No. 09/959,226, filed on October 18, 2001 and accorded a filing of January 23, 2002 under 35 U.S.C. §371 and entitled "Humidity Controller", which is the United States National Phase application of PCT patent application No. PCT/NZ00/00156 which has an international filing date of August 9, 2000 and was published by the International Bureau in English on March 1, 2001 under International Publication Number WO 01/13981 A1. Please make these changes and forward a corrected Filing Receipt to us.

It is believed that no fee is required. If such a fee is required, however, the Commissioner is authorized to charge Deposit account No. 20-1495 for this fee and any amount necessary to cover any additional costs associated with this Request or to credit any overpayment.

Respectfully submitted,

Date: Japhonha 17, 2004

Raiford A. Blackstone, Reg. No. 25,156

Linda L. Palomar, Reg. No. 37,903

Attorney for Applicant

TREXLER, BUSHNELL, GIANGIORGI, BLACKSTONE & MARR, LTD.

105 West Adams Street, 36th Floor

Chicago, Illinois 60603-6299

(312) 704-1890

By:



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. EGSSI

APPLICATION NUMBER GRP ART UNIT FIL FEE REC'D ATTY DOCKET.NO DRAWINGS TOT CLAIMS FILING DATE 10/001.596 10/19/2001 3761 1168 1171/39672/106

Trexler, Bushnell, Giangiorgi, Blackstone & Marr, Ltd. 36th Floor 105 West Adams Street Chicago, IL 60603

 $\forall \mathcal{A}, \forall$ 9 7802

CONFIRMATION NO. 3006 CORRECTED FILING RECEIPT

SOP_N

THE WAR BUSHOULL BUT YOUROL ELKITOTUKE & WARE LTD.

Date Mailed: 05/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mohammad Thudor, Auckland, NEW ZEALAND; Stephen William McPhee, Auckland, NEW ZEALAND;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/959,226 01/23/2002 * (*)Data provided by applicant is not consistent with PTO records.

Foreign Applications 371 of PCT/NZCO/DOISE

PCT/NZ00/00156 08/09/2000 NEW ZEALAND 337382 08/23/1999 NEW ZEALAND 507663 10/19/2000

If Required, Foreign Filing License Granted 12/31/2001

Projected Publication Date: 07/04/2002

Non-Publication Request: No

Early Publication Request: No

Title

COPY

Humidity controller

Preliminary Class

128



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, If the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to explanation and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No ticense under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lepsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.